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PTO/SB/21 (08-00)  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/928,172
	Filing Date	August 9, 2001
	First Named Inventor	Parfeniuk
	Group Art Unit	2823
	Examiner Name	Brewster
Total Number of Pages in This Submission	Attorney Docket Number	30-5016/div1(4015)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	- PTO-1449 and copy of cited references.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	David G. Latwesen, Ph.D. #38,535	
Signature	Wells St. John P.S.	
Date	9/8/03	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 9/8/03		
Typed or printed name	JoAnne O'Hare	
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Inventor: **Christopher L. Parf niuk t al.**  
Title: **Physical Vapor Deposition Target Constructions**  
Assignee: **Hon ywell International Inc.**  
Serial No.: **09/928,172**  
Filed: **August 9, 2001**  
Client Ref.: **30-5016/div1(4015)**

**INFORMATION DISCLOSURE STATEMENT**

**PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 AND 1.98**

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Examiner's attention is directed to the references listed on the attached Form PTO-1449 and copies of which are attached. No admission is made regarding whether all the submitted references are prior art.

This Information Disclosure Statement is being filed within three months of the filing date of the RCE application or before the mailing date of a first Office Action after the RCE, whichever occurs last. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this information disclosure statement, please charge the fee specified under 37 C.F.R. § 1.17(p) to Deposit Account No. 23-0925.

Citation of these references is respectfully requested.

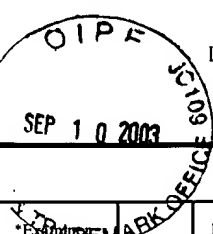
Respectfully submitted,

Date: 9/14/03

Attorney: David G. Latwesen, Ph.D.

Reg. No. 38,533  
Wells St. John P.S.

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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 30-5016/div1(4015)		SERIAL NO. 09/928,172	
<div style="display: flex; align-items: center;"> <div style="text-align: right; margin-right: 10px;">  </div> <div> <b>LIST OF ART CITED BY APPLICANT</b>            (Use several sheets if necessary)         </div> </div>				APPLICANT Christopher Parfeniuk et al.			
				FILING DATE August 9, 2001		GROUP 2823	
U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	5,693,203	12/97	Ohhashi et al.			
	AB	4,732,312	03/88	Kennedy et al.			
	AC	4,905,886	03/90	Kennedy et al.			
	AD	5,230,459	07/93	Mueller et al.			
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	AG	4,838,474	06/89	Ohashi et al.			
	AH	4,046,305	09/77	Brown et al.			
	AI	4,496,095	01/85	Renshaw et al.			
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	AK	4,029,253	06/77	Cartossi			
	AL	5,938,103	08/99	Hill et al.			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes    No
	AM	0 630 423 B1	06/98	EPO			
	AN	6-226455	08/94	Japan			
	AO	2 241 914 A	09/91	Great Britain			
	AP	9143704	06/97	JP - Abstract			
	AQ	0 370 211 A2/A3	05/90	EPO			
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)							
	AR		Davis, J.R., "ASM Specialty Handbook© - Aluminum and Aluminum Alloys", date unknown, p. 320.				
	AS		Porter, David et al., "Phase Transformations in Metals and Alloys", date unknown, pp. 75-83.				
EXAMINER				DATE CONSIDERED			
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U.S. PATENT DOCUMENTS							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	3,758,741	09/73	Holko et al.			
	AB	5,799,860	09/98	Demaray et al.			
	AC	5,102,033	04/92	Woods et al.			
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	AN	5,268,236	12/93	Dumont et al.			

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Document Number	Date	Country	Class	Subclass	Translation		
					Yes	No	
AO	10 330928	12/98	JP - Abstract				
AP	US 01/ 01488	01/01	PCT Search Report				
AQ	0 575 166 A1	12/93	EPO				
AR	WO 98/26107	06/98	PCT				
AS	6-65733	03/94	JP				

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)			
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U.S. PATENT DOCUMENTS							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	4,405,427	09/83	Byrd			
	AB	6,391,465 B1	05/02	Zheng et al.			
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	AI	5,590,389	12/96	Dunlop et al.			
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS							
Document Number	Date	Country	Class	Subclass	Translation		
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AM							
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U.S. PATENT DOCUMENTS							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	US2002/0174917 A1	Pub. 11/28/02	Segal et al.			07/11/02
	AB						
	AC						
	AD						
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FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Subclass	Translation	
	AM					Yes	No
	AN						
	AO						
	AP						
	AQ						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)			
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